

ATTACHMENT E

**CERTIFICATION – ABB LTD.**

To: United States Department of Justice  
Criminal Division, Fraud Section  
Attention: Chief, FCPA Unit

United States Attorney's Office for the Eastern District of Virginia  
Attention: Chief, Financial Crimes and Public Corruption Unit

Re: Deferred Prosecution Agreement Disclosure Certification

The undersigned certify, pursuant to Paragraph 19 of the deferred prosecution agreement (“the Agreement”) filed on December 2, 2022 in the United States District Court for the Eastern District of Virginia, by and between the United States of America and ABB Ltd. (the “Company”), that the undersigned are aware of the Company’s disclosure obligations under Paragraph 6 of the Agreement, and that the Company has disclosed to the United States Department of Justice, Criminal Division, Fraud Section and the United States Attorney’s Office for the Eastern District of Virginia (together, the “Offices”) any and all evidence or allegations of conduct required pursuant to Paragraph 6 of the Agreement, which includes evidence or allegations of any violation of the Foreign Corrupt Practices Act’s (“FCPA”) anti-bribery or accounting provisions had the conduct occurred within the jurisdiction of the United States (“Disclosable Information”). This obligation to disclose information extends to any and all Disclosable Information that has been identified through the Company’s compliance and controls program, whistleblower channel, internal audit reports, due diligence procedures, investigation process, or other processes. The undersigned further acknowledge and agree that the reporting requirements contained in Paragraph 6 and the representations contained in this certification

constitute a significant and important component of the Agreement and the determination of the Offices of whether the Company has satisfied its obligations under the Agreement.

The undersigned hereby certify that they are the Chief Executive Officer and the Chief Financial Officer of the Company, respectively, and that each has been duly authorized by the Company to sign this Certification on behalf of the Company.

This Certification shall constitute a material statement and representation by the undersigned and by, on behalf of, and for the benefit of, the Company to the executive branch of the United States for purposes of 18 U.S.C. § 1001, and such material statement and representation shall be deemed to have been made in the Eastern District of Virginia. This Certification shall also constitute a record, document, or tangible object in connection with a matter within the jurisdiction of a department and agency of the United States for purposes of 18 U.S.C. § 1519, and such record, document, or tangible object shall be deemed to have been made in the Eastern District of Virginia.

By: \_\_\_\_\_  
Chief Executive Officer  
ABB Ltd.

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Chief Financial Officer  
ABB Ltd.

Date: \_\_\_\_\_

ATTACHMENT F

**COMPLIANCE CERTIFICATION**

To: United States Department of Justice  
Criminal Division, Fraud Section  
Attention: Chief, FCPA Unit

United States Attorney's Office for the Eastern District of Virginia  
Attention: Chief, Financial Crimes and Public Corruption Unit

Re: Deferred Prosecution Agreement Compliance Certification

The undersigned certify, pursuant to Paragraph 12 of the Deferred Prosecution Agreement filed on December 2, 2022 in the United States District Court for the Eastern District of Virginia by and between the United States of America and ABB Ltd. (the "Company") (the "Agreement"), that the undersigned are aware of the Company's compliance obligations under Paragraphs 9 and 10 of the Agreement, and that, based on a review of the Company's reports submitted to the Department of Justice, Criminal Division, Fraud Section and the United States Attorney's Office for the Eastern District of Virginia pursuant to Paragraph 12 of the Agreement, the reports are true, accurate, and complete as of the date they were submitted.

In addition, the undersigned certify that, based on the undersigned's review and understanding of the Company's anti-corruption compliance program, the Company has implemented an anti-corruption compliance program that meets the requirements set forth in Attachment C to the Agreement, and that such compliance program has been implemented throughout its operations, including at ABB Management Services Ltd. and ABB South Africa (Pty) Ltd. The undersigned certify that such compliance program is reasonably designed to detect and prevent violations of the Foreign Corrupt Practices Act and other applicable anti-

corruption laws throughout the Company's operations.

The undersigned hereby certify that they are respectively the Chief Executive Officer of the Company and the Chief Integrity Officer of the Company and that each has been duly authorized by the Company to sign this Certification on behalf of the Company.

This Certification shall constitute a material statement and representation by the undersigned and by, on behalf of, and for the benefit of the Company to the executive branch of the United States for purposes of 18 U.S.C. § 1001, and such material statement and representation shall be deemed to have been made in the Eastern District of Virginia. This Certification shall also constitute a record, document, or tangible object in connection with a matter within the jurisdiction of a department and agency of the United States for purposes of 18 U.S.C. § 1519, and such record, document, or tangible object shall be deemed to have been made in the Eastern District of Virginia.

Name (printed): \_\_\_\_\_ Date: \_\_\_\_\_

Name (signed): \_\_\_\_\_  
Chief Executive Officer  
ABB Ltd.

Name (printed): \_\_\_\_\_ Date: \_\_\_\_\_

Name (signed): \_\_\_\_\_  
Chief Integrity Officer  
ABB Ltd.